

## **Improving the Performance of Searchable Symmetric Encryption by Optimizing Locality**

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ARTICLE INFO	ABSTRACT	
Received25 April 2023Accepted10 June 2023Published30 June 2023	Both individuals and organizations prioritize data security and privacy, leading to an increasing focus on technological solutions that emphasize these aspects. Searchable symmetric encryption stands out as a prominent choice for secure storage and search of encrypted data on cloud servers, contributing to this	
Keywords:		
Locality, Optimal locality, Searchable Symmetric Encryption, Cloud Server, Inverted Index,	objective. While searchable symmetric encryption offers numerous benefits, it also faces certain challenges, particularly when dealing with large databases. One significant challenge is poor performance, often attributed to poor locality. Visiting	
<b>Citation:</b> Aya A. Alyousif et al., J. Basrah Res. (Sci.) <b>49</b> (1), 102 (2023). DOI: <u>https://doi.org/10.56714/bjrs.4</u> <u>9.1.9</u>	multiple locations can significantly increase the time required for data retrieval. Additionally, optimization methods aimed at improving locality can sometimes impact read efficiency or result in excessive storage of the encrypted index on the cloud server. In this paper, we present a scheme that effectively addresses these issues. We have enhanced the encrypted inverted index storage mechanism to improve information retrieval performance. Our scheme achieves optimal locality O(1) and optimal read efficiency in $O(1)$ , resulting in a significant increase in retrieval speed. Through experimentation with real-world data, we have demonstrated the practicality, accuracy, and security of our scheme.	

#### 1. Introduction

In the era of information technology and Internet, we are generating more data than ever before, and this trend is only set to continue. For businesses, individuals, and organizations, the ability to store, access, and manage this data efficiently is essential. In response, cloud storage has become a popular solution due to its many advantages over traditional forms of data storage [1][2]. It is a method of storing data on remote servers that can be accessed from any internet-connected device anywhere in the world. This results in data being stored in a centralized location that is easily

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ISSN: 1817-2695 (Print); 2411-524X (Online) Online at: https://jou.jobrs.edu.iq accessible. The greatest benefit of cloud storage is its flexibility of storage. Unlike traditional storage methods, it is not restricted by physical storage devices and offers virtually limitless storage capacity. This makes it an excellent choice for all parties involved in the storage process, from businesses to individuals.

Cloud storage offers not just superior storage capabilities, but also exceptional reliability. Cloud storage providers utilize redundant storage systems and multiple data centers located in different geographic locations, guaranteeing data accessibility even in the face of natural disasters or hardware failures. With cloud storage, companies can rest assured that their data is secure and accessible at all times, precisely when they need it.

To guarantee the security of data kept on cloud servers, a variety of techniques must be utilized, such as access management, network protection, and encryption [3]. Access management is a system that restricts data access based on user identity, position, or authorization. Network security includes safeguarding the network infrastructure used for data transfer. Encryption, conversely, is the procedure of converting data into a code to avoid unauthorized access. Encryption can be implemented both while in transit and at rest, ensuring that data remains safe throughout transmission and storage.

Several encryption techniques are available to secure data stored in the cloud server, including symmetric encryption, hashing, and searchable symmetric encryption (SSE) [4]. SSE is an encryption technique that enables authorized users to search for specific information in an encrypted database without compromising the confidentiality of the data to unauthorized individuals. However, SSE encounters various obstacles. It is a comparatively intricate procedure that entails searching within encrypted data and requires constructing and maintaining an index structure. This can consume significant time and resources. Moreover, there are security concerns related to the possibility of exposing confidential information [5].

SSE has recently been found to suffer from performance degradation and weakness in the retrieval process when handling huge databases. Further research has revealed that the cause of this issue is not related to the encryption process, but rather to the method used to store the index in memory. Storing the index structure in memory forces the cloud server to perform a series of memory transitions, commonly referred to as "poor locality" [4][6][7][8][9], during the search process for a user's query. These transitions can significantly slow down the retrieval of encrypted data and adversely affect the performance of SSE. Numerous researchers have shown interest in enhancing the performance in huge databases through locality optimization. However, this optimization process has been observed to potentially have negative impacts on both the storage space of data and the read efficiency of data. We can summarize our contributions as follows:

- Our scheme enhances information retrieval performance for all databases, regardless of their scale, by improving locality.
- Our scheme achieves optimal locality of O(1), which implies that during each search operation, the cloud server only needs to access a single memory location, as opposed to multiple locations.
- Our suggested scheme is exceptionally secure as the server looks for the required data and delivers it to the user without decrypting it.
- Our scheme does not greatly increase the size of the encrypted index stored in the cloud server.
- Lastly, our scheme is highly read efficiency O(1), where the cloud server only responds with the requested data when the user queries it.

### Table 1. Symbols

Character	Description
W	Word
n	Number of words
$W_{db}$	Words in $DB$ , $W_{db} = \{w_1, \dots, w_n\}$
id	Identifier
IDs	Identifiers
ndb	Total IDs of DB
nw	Total of identifiers w
Ν	$\sum_{i=1}^{n}  DB_w(w)  \text{ where } DB_w(w) = \{id_1, \dots, id_{nw}\}$
НТ	A hash table is a data structure that allows efficient storage and retrieval of key- value pairs. It comprises a pair of algorithms, are "Add" and "Get"[9].
Add	Algorithm adds pairs of (key, value) to HT
Get	value=Get(key)
St	String
Št	Encrypted string
EHT	A hash table to store encrypted values $Št$
<i>S</i> 1	Counter counts the number of continuous identifiers in which w appears
<i>S</i> 0	Counter counts the number of continuous identifiers in which $w$ not appears
$k_w$	Derivative key to encryption and decryption of St
li	Label is used to store and retrieve $\check{S}t$ in <i>HT</i> , $Add(li, \check{S}t)$ , $\check{S}t = Get(li)$
Enc	Function to encryption St
Dec	Function to decryption $\check{S}t$
ids	List to store the final result

Algorithm	Description
Key generation: $k_e \leftarrow Gen_k(1^{\lambda})$	The key generation algorithm generates the secret key $k_e$ based on the input security parameter $1^{\lambda}$ .
Constructing secure index: $SI \leftarrow Enc_DB(k_e, DB)$	The secure index <i>SI</i> is constructed by taking the secret key $k_e$ and the database <i>DB</i> as inputs to this algorithm.
Token generator: $\tau \leftarrow Trpdr(k_e, w)$	In this algorithm, the user creates the token $\tau$ to search for data or a specific word <i>w</i> .
Search: $r \leftarrow Search(\tau, SI)$	The process in this algorithm involves the cloud server searching for the required data in the secure index and returning the result $r$ to the user. If the result is encrypted, the user must using <i>Find_ids</i> algorithm to access it.
Find identifiers: $ids \leftarrow Find_ids(k_e, r)$	The user uses this algorithm to obtain the final result, which consists of word identifiers $ids$ after performing any necessary processing and deception the result $r$ .

Table 2. Searchable symmetric encryption algorithm

#### 2. Paper Organization

The format of this paper is outlined below. In Section 3, we will elaborate on the concepts of searchable symmetric encryption. Previous related works will be discussed in Section 4. Our proposed scheme will be presented in Section 5, while Section 6 will delve into the details of our experimental results. To conclude, we offer our conclusions in the last section, Section 7.

#### 3. Searchable Symmetric Encryption (SSE)

SSE is a technique that enables searching encrypted data while maintaining privacy [[10], [11], [12]]. It uses symmetric key cryptography, where the same key is used for both encryption and decryption. SSE involves three main components: the data owner DW, the cloud server CS, and the users The process of SSE comprises five essential steps. See Table 2 for for more details.

Firstly, DW selects a secret key  $k_e$  to be used for both encryption and decryption. Secondly, DW generates a searchable index SI from database DB and subsequently applies encryption to SI to ensure its security. The SI is then uploaded to CS. Thirdly, when a user wants to search for data on the cloud server, they generate an encrypted query called a token  $\tau$ , using the same secret key. This token is then sent to CS. Fourthly, CS receives  $\tau$  from the user and searches for the data in SI. In the fifth step, CS returns the search results r to the user either encrypted, for the user to decrypt later or decrypted and sent to the user.

#### 4. Related Works

In 2000, a technology was introduced to facilitate searching of encrypted data without requiring prior decryption. The system, named "Searchable Symmetric Encryption"[13], empowers users to conduct searches for particular keywords within encrypted data while keeping the content secure. This marked the genesis of *SSE*. After the introduction of this new technology, researchers conducted extensive studies across various fields, such as performance optimization. Their findings reveal that

the decline in performance is not caused by the technology itself but by the server's access to memory positions during user request processing. The increase in the encrypted index size results in more positions being accessed, ultimately leading to slower response times [14]. This case is referred to as poor locality. There are generally two approaches that can be used to classify known constructions.

The first approach has constant read efficiency and linear space, but it suffers from poor locality as stated in [12] and [14]references. The process involves allocating an array of size N and uniformly mapping N elements from the database into the array. To retrieve a list of IDs that contain a given w, each id is stored in the array with a pointer to the next id in the list. Unfortunately, this approach requires the server to visit random positions in the array for every id associated with w, resulting in inefficiency.

The second approach is efficient for locality and read efficiency, but it requires a lot of additional storage[[15], [16], [17], [18]. This approach involves allocating a large enough array and uniformly mapping each  $DB_w$  list into the array based on the length of  $DB_w$  without any overlaps among different lists. Retrieving  $DB_w$  for a given w is efficient because the server only needs to access a single random position and read all consecutive *id* entries. This results in optimal read efficiency and locality. However, the positions of the lists in the array may expose information about the underlying database's structure. As a result, padding must be used to conceal information about the lengths of the lists, resulting in a polynomial increase in space overhead.

We should emphasize that there is often a problem either with the storage capacity, which is typically extensive, or with the locality. This can lead to a decline in the response time of the cloud server, and at times there is a bad effect on the read efficiency. Therefore, creating a construction that enjoys optimal locality with little storage space and at the same time does not affect its read efficiency is not easy, and it may not be possible. Cash and Tessaro [6] discussed this in 2014 and established a minimum tradeoff among these three cases. They also created a new construction with improved locality to  $O(\log N)$  and the storage space was  $O(N \log N)$ .

Gilad Asharov et al. improved upon Cash and Tessaro's construction in 2016 [7] by achieving O(1) locality while maintaining the storage space at O(N log N). In 2017, Demertzis and Papamanthou [8] created two constructions. The first construction had locality O(1) and used O(N s) storage space, where s is the number of levels utilized to store *IDs*. This construction had a negligible impact on read efficiency but still demanded a substantial amount of storage space. The second construction provided tunable locality, allowing the *DW* to choose a parameter during the creation of their index, while operating within the same storage space as the first approach. In 2021, Asharov and colleagues [9] made noteworthy advancements by creating two comprehensive frameworks - the pad-and-split framework and the statistical-independence framework - that strengthened the lower bound set by Cash and Tessaro.

Throughout the recent period spanning 2021 to 2023, a multitude of research studies have surfaced across diverse domains within SSE, presenting numerous benefits. Nevertheless, all of them continue to exhibit a notable deficiency in terms of good locality such as [19]–[24].

#### 5. Proposed Scheme

This section will provide a comprehensive explanation of our scheme, where the following construction shows our entire scheme. Then we will explain our scheme with more details. See construction.

**CONSTRUCTION:** Let = { $DB_w(w_1), \ldots, DB_w(w_n)$ },  $W_{db} = \{w_1, \dots, w_n\}$ ; For  $w \in W_{db}$  let  $DB_w(w) = \{id_1, \dots, id_{nw}\}$  and *ndb* is total of identifiers DB. See Table 1.  $k_e \leftarrow Gen_k(1^{\lambda})$ : 1. Input Security parameter  $1^{\lambda}$ 2. Compute the secret key  $k_e$  with *PRF* 3. Output  $k_e$  $EHT \leftarrow Enc_DB(k_e, DB)$ : 1. Input  $k_e$  and DB2. Initialize empty HT, EHT and l = 03. For every  $w \in W_{db}$ St = "", S1 = 0, S0 = 0Sort  $DB_w$ If 1 in  $DB_w$ Add "y" to St Else Add "n" to St For i = 1 to ndbIf *i* in  $DB_w$ S1 = S1 + 1If i + 1 not in  $DB_w$  or i + 1 = ndb + 1Add S1 to St S1 = 0Else S0 = S0 + 1If i + 1 in  $DB_w$  or i + 1 = ndb + 1Add S0 to St S0 = 0End for i = i + 1If *length*(*St*)>*l* l = length(St)Add (w, St) to HTEnd for 4. For every  $w \in HT$ St = Get(w)padding *St* to *l* with "0"  $k_w = PRF_{k_e}(2 \parallel w)$  $\check{S}t = Enc_{k_w}(St)$  by AES256 Compute  $li = PRF_{k_{\rho}}(1 \parallel w)$ Add (li, Št) to EHT End for 5. Output EHT

```
\tau \leftarrow Trpdr(k_e, w):
1. Input k_e and w
3. Compute \tau = PRF_{k_{\rho}}(1 \parallel w) = li
4. Output \tau
\check{S}t \leftarrow Search(\tau, EHT):
1. Input \tau and EHT
2. \check{S}t = Get(li)
3. Output Št
ids \leftarrow Find_ids(k_e, \check{S}t):
1.Input k_e and Št
2. k_w = PRF_{k_e}(2 \parallel w) and S_t = Dec_{k_w}(\check{S}_t)
3. Initialize empty ids list and i = 1
4. c=0
5. If the first character in the string matches "n"
       For from i = 2 to length St
             If St = "0"
               Out
             If i mod 2 is equal 0
                   convert St[i] to int
                   c = c + St[i]
             Else
                   j=c
                   convert St[i] to int
                   For from j to j + St[i]
                          Add j to ids
                         j = j + 1
         End for
Else
      For from i = 2 to length St
             If St = "0"
               Out
             If i \mod 2 is not equal 0
                   convert St[i] to int
                   c = c + St[i]
             Else
                   j=c
                   convert St[i] to int
                   For from j to j + St[i]
                          Add j to ids
                         j = j + 1
      End for
6. Output ids = identifiers
```

## 5.1. Key generation phase $k_e \leftarrow Gen_k(1^{\lambda})$ :

To begin, the data owner generates a secret key using the Pseudo-Random Function [[25], [26]], it will serve for both encryption and decryption purposes.

#### **5.2.** Constructing secure index phase $EHT \leftarrow Enc_DB(k_e, DB)$ :

In this phase, the data owner must construct an encrypted index *EHT* for the database. This index is created using the words found in the database  $W_{db}$  and their corresponding identifiers. So, that each w is represented by an encrypted string  $\tilde{S}t$  that indicates whether it appears or not in the identifiers of the database. The plaintext string St is determined by group of the number of consecutive identifiers where the word appears and the number of consecutive identifiers where it does not appear, across all identifiers in the database. There are two types of St that represent words. The first type begins with the letter "y," indicating that the word appears in the first identifiers where it does not appears. The second type starts with the letter "n," indicating that the word does not appear in the first identifier of the database and the first number added to St represents the number of consecutive identifiers where the word does not appear. To demonstrate the aforementioned concept, consider the following example:

If ndb = 15 and  $DB_w(w) = \{1,2,3,4,7,8,10,11,12,13,14,15\}$  In this case St will be  $St = "y \ 4 \ 2 \ 2 \ 1 \ 6"$ , The word is associated with the first four consecutive identifiers (1,2,3,4) represented by the number 4, while the following two identifiers (5, 6), represented by the number 2, are not associated with the word. The subsequent two identifiers (7, 8), represented by the number 2, are associated with the word, and this pattern continues sequentially until all the identifiers are covered. In conjunction generating St for all the words, the data owner must calculate the longest St. This step is crucial as it enables the padding of all St values to match the length of the longest value. This process enhances security and mitigates the risk of any data leakage to the cloud server. In sync with the padding procedure, the data owner will generate a key  $k_w = PRF_{k_e}(2 \parallel w)$  and a label  $li = PRF_{k_e}(1 \parallel w)$ . The  $k_w$  is used to encrypt St value  $\check{S}t = Enc_{k_w}(St)$  and li is used to store  $\check{S}t$  in EHT. The data owner can upload EHT to the cloud server once it has been constructed.

# 5.3. Token generator phase $\tau \leftarrow Trpdr(k_e, w)$ and Search phase $\check{S}t \leftarrow Search(\tau, EHT)$ :

If a user wants to search for a specific word, they must create a token  $\tau$  that represents the *li* generated during index construction and send it to the cloud server. Enabling secure data searching by the cloud server is dependent on this step. The cloud server can retrieve  $\check{S}t$  from *EHT* once it receives  $\tau$  from the user  $\check{S}t = Get(li)$  and send  $\check{S}t$  to user.

#### 5.4. Find identifiers phase $ids \leftarrow Find_ids(k_e, \check{S}t)$ :

Upon receipt Št, the user initiates the decryption process by recomputing  $k_w$  and use it for decrypting  $S_t = Dec_{k_w}(\check{S}_t)$ . Once the  $S_t$  is obtained, the user will start processing it to find out which identifies the word belongs to, according to the steps shown in our construction.

#### 6. Experimental Results

In this section, our scheme is evaluated using a real-world DB of Wikipedia articles. We conducted our experiments on a 64-bit Windows machine equipped with an Intel Core i5 CPU clocked at 1.6 GHz and 8GB RAM. Our database has ndb = 2030 and w = 555,370. We specifically selected DB that supports locality because it contains a significant number of IDs, enabling us to observe the impact on retrieval time. We chose to implement the code in Python due to its rich feature set and widespread use in the scientific community.

#### 6.1. Comparison with previous schemes

In this section, we will compare our work to four previous schemes that share a similar goal of improving locality to enhance performance. These schemes are [[6], [7], [8], [27]]. We executed all of these schemes at the outset, prior to beginning the comparison process. In our comparisons, we focused on searching three words w, that vary in the quantity of their identifiers nw. The first word

 $w_1$ , had nw = 2010, the second word  $w_2$  had nw = 997, and the last word  $w_3$ , had nw = 3. The results of searching for  $w_1$  and  $w_2$  provide clear evidence of the notable difference in searching speed between our work and previous schemes as shown in "Fig. 1". Moreover, the searching time for  $w_3$  indicates that our approach enhances the search of words, with a significant number of identifiers without compromising the search of words with fewer identifiers as shown in "Fig. 2". To ensure a fair comparison with previous works that did not include a *Find\_ids* phase, we have included the time required for this phase along with the research time.

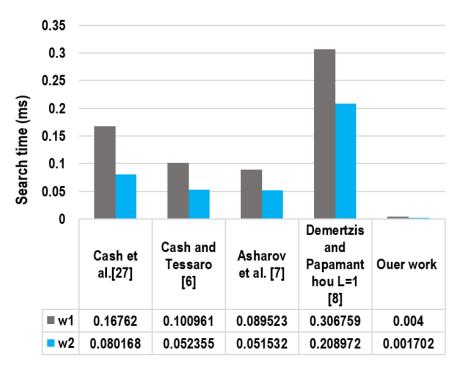


Fig. 1: Comparing the search time required to find W1 and W2 in our scheme to that of previous

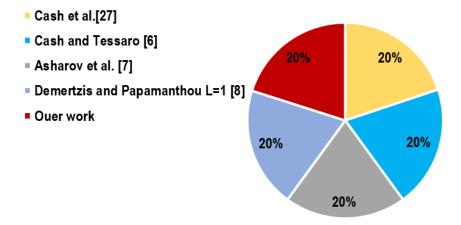


Figure 2: Comparison of search time for W3 in our scheme versus previous schemes.

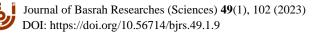
#### 7. Conclusion

Our main objective for this work is to improve the overall performance of the searchable symmetric encryption. Specifically, we are targeting a problem related to large databases, namely the issue of poor locality caused by frequent memory movements by the cloud server during the search phase. To solve this problem, we have implemented modifications to the inverted index storage mechanism, leading to a significant improvement in the search performance in the large database. Our modifications have optimized locality to O(1) without any impact on the reading efficiency, which continues to be at O(1). Furthermore, this change has not led to a significant increase in the encrypted index's storage space. Our work ensures a high level of security since the server does not decrypt the data but forwards the encrypted data to the user for decryption. Furthermore, the values stored on *CS* uniform in size and do not reveal *IDs* themselves. These values serve as evidence to retrieve *IDs* later.

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# تحسين التشفير المتماثل القابل للبحث بواسطة المحلية المثلى

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الملخص	معلومات البحث	
يضع كل من الأفراد والمؤسسات قيمة عالية لحماية أمان وخصوصية بياناتهم.	25 نيسان 2023	الاستلام
نتيجة لذلك، هناك تركيز متزايد على الحلول التكنولوجية التي تعطى الأولوية	10 حزيران 2023	القبول
للخصوصية والأمان، يعد التشفير المتماثل القابل للبحث، أحد اهم الخيار أت البارزة	30 حزيران 2023	النشر
في هذا المجال. على الرغم من فوائده العديدة يواجه SSE بعض التحديات، خاصة		
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مفرط للفهرس المشفر المخزن على الخادم السحابي. في هذا البحث، نقدم مخططً		
يعمل على حل هذه المشكلات بنجاح. علاوة على ذلك، قمنا بتحسين آلية تخزين	Citation: Aya A. Aly	ousif et
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والأمان لمنهجنا، مما يجعله حلاً موثوقًا به لاسترجاع المعلومات بشكل آمن وفعال.

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